

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 667.00

Complete if Known

Application Number 09/943,644
Filing Date August 30, 2001
First Named Inventor Dennis W. Smith et al.
Examiner Name Not yet known
Group Art Unit 1756
Attorney Docket No. CXU-363

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 04-1403
Deposit Account Name Dority & Manning, Attorneys at Law, P.A.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740 | 201 370 | Utility filing fee | \$370.00 |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 32 | -20** = 12 | \$9.00 | \$108.00 |
| 55 | -3** = 2 | \$42.00 | \$84.00 |
| | | | \$0.00 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|------------------------------------------------------------|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 192.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|----------------------------------------------------------------------------|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | \$65.00 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 920 | 217 460 | Extension for reply within third month | |
| 118 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | \$40.00 |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 105.00

SUBMITTED BY

Name (Print/Type)

John E. Vick, Jr.

Signature

Registration No. (Attorney/Agent)

33,808

Complete (if applicable)

Telephone

864-271-1592

Date

11/28/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



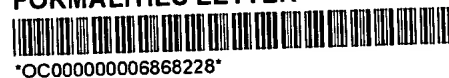
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/943,644 | 08/30/2001 | Dennis W. Smith | CXU-363 |

CONFIRMATION NO. 9437

FORMALITIES LETTER



OC000000006868228

John E. Vick, Jr.
Dority & Manning
Attorneys at Law, P.A.
P.O. Box 1449
Greenville, SC 29602

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/05/2001 TBESHAH1 00000044 09943644

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:203 | 108.00 OP |
| 03 FC:202 | 84.00 OP |
| 04 FC:205 | 65.00 OP |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$188.
 - \$108 for 12 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 608.

*A copy of this notice **MUST** be returned with the reply.*

MTB
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2- COPY TO BE RETURNED WITH RESPONSE

In re Application of: Dennis W. Smith

FILING C PETITION UNDER 37 CFR 52, 53, and/or 85

Attention: Application Branch

Serial No.: 09/943,644

Filed: August 30, 2001

Confirmation No.: Not yet assigned

Title: Fluoropolymer Compositions, Optical Devices,
And Methods for Fabricating Optical Devices

Date: November 28, 2001

Attorney Docket No.: CXU-363

Art Unit: 1756

Our Account No.: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:
The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.
☐ This is a Rule 47 filing per attached Petition:
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
☐ Specification originally filed in non-English language; hence verified translation attached of:
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8 1/2 by 11
☒ Attached is an assignment to Clemson University.
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).
☐ _____, filed in _____ on _____, respectively.
Certified copy (copies) ☐ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.

☒ Attached: _____ Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.

☒ Attached: _____ Fee Transmittal, Check for \$667.00, Return postcard

☐ Preliminary Amendment: _____

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

| | |
|-----------------------------------------------------------------------------------|-----------|
| If not earlier paid, add Basic Filing Fee | \$ 740.00 |
| Total Effective Claims <u>32</u> - 20 = <u>12</u> x \$18.00 = | \$ 216.00 |
| Independent Claims <u>5</u> - 3 = <u>2</u> x \$84.00 = | \$ 168.00 |
| If any proper multiple dependent claim (ignore improper) is present, add \$280.00 | \$ |
| Filing Fee | \$ |

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of _____,
petition is hereby made for an extension to cover the date this response is filed for which the
requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).

| | |
|------------------------------------------------------------------------------------------------------------|------------|
| If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge (\$130) | \$ 130.00 |
| Subtotal | \$ 1254.00 |

| | |
|------------------------------------------------------------------------------------|-----------|
| If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract | \$ 627.00 |
| Total = | \$ 627.00 |

| | |
|----------------------------------------------------------------------------------|----------|
| If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00 | \$ 40.00 |
|----------------------------------------------------------------------------------|----------|

| | |
|---------------------------------------------------------|----|
| If "assignment" box is X'd, add recording fee (\$40.00) | \$ |
|---------------------------------------------------------|----|

| | |
|---------------------------------------------------------------------|------------------|
| If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h) | \$ |
| TOTAL FEE ENCLOSED | \$ 667.00 |

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602
Phone: 864-271-1592
Facsimile: 864-233-7342

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

November 28, 2001

Terry Ballaw

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DORITY & MANNING

ATTORNEYS AT LAW, P.A.

By Atty: John E. Vick, Jr. Reg. No. 33,808

Signature: _____

BEST AVAILABLE COPY